

**PATENT APPLICATION**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**



In re application of

Kaoru UCHIDA

Appln. No.

Group Art Unit: Unknown

Confirmation No.:

Examiner: Unknown

Filed: February 5, 2001

For: BIOMETRIC IDENTIFICATION METHOD AND SYSTEM

**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. §§ 1.97 and 1.98**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached Form PTO-1449 and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

1. Japanese Unexamined Patent Publication No. 4-33065, published February 2, 1992.
2. Japanese Unexamined Patent Publication No. 63-13226, published March 24, 1988.
3. Japanese Unexamined Patent Publication No. 5-290149, published November 5, 1993.
4. Japanese Unexamined Patent Publication No. 8-241403, published September 17, 1996.
5. Japanese Unexamined Patent Publication No. 10-224793, published August 21, 1998.

One copy of each of the listed documents is submitted herewith.


Kaoru UCHIDA  
Q62922  
Information Disclosure Statement

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date for an application other than a continued prosecution application (CPA) under §1.53(d); (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicant states that the references are discussed within the specification beginning at page 2, lines 9, 11, and 13, page 16, line 24, and page 17, line 1, respectively.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

Respectfully submitted,



John T. Callahan  
Registration No. 32,607  
J. Frank Osha  
Registration No. 24,625

*for*

SUGHRUE, MION, ZINN,  
MACPEAK & SEAS, PLLC  
2100 Pennsylvania Avenue, N.W.  
Washington, D.C. 20037-3213  
Telephone: (202) 293-7060  
Facsimile: (202) 293-7860

Date: February 5, 2001

**U.S. Department of Commerce  
Patent & Trademark Office**

Atty. Docket No.

Q62922

Serial No.:

**Confirmation No.:**

jc986 U.S. PRO  
09/775617  
02/05/01

## INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

Applicant: Kaoru UCHIDA

Filing Date:  
February 05, 2001

Group:  
Unknown

## U.S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

		Document	Date	Country	Class	Sub-class	Translation Yes/No
		04-33065	02/04/92	Japan			No
		63-13226	03/24/88	Japan			No
		05-290149	11/05/93	Japan			No
		08-241403	09/17/96	Japan			No
		10-224793	08/21/98	Japan			No

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)


EXAMINER:

DATE CONSIDERED:

**EXAMINER:** Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication.